

# FREDERICK GOLDMAN INC.

Frederick Goldman, Inc.  
3T's Vendor Policy

## Purpose

The purpose of the policy is to define the process for requesting a new vendor or change to an existing vendor for any vendor supplying the 3T's (Tin, Tungsten, Tantalum).

## Vendor Requirements

All vendors must confirm to be in support and compliance with both Frederick Goldman, Inc.'s Supply Chain Assessment Policy and Human Rights Policy. All vendors must disclose annually the supply chain, human rights and/or environmental certifications. All Smelters must be compliant with CFSP Supply Chain Transparency Smelter Audit (<http://www.conflictreesourcing.org/>). If certifications do not exist, Vendor will be given designated time frame to either change to a CFSP Smelter, or have Smelter audited by CFSP approved auditor.

## Forms/Documents Required by Vendor

- FGI 3T Vendor Supplier Letter of Compliance
- FGI 3T Nugget Supplier Letter of Compliance
- FGI 3T Smelter Supplier Letter of Compliance
- CFSP Compliance Certificate from Smelter (supplied by Vendor)
- Vendor Human Rights and Supply Chain Policy (supplied by Vendor)
- Nugget Supplier Human Rights and Supply Chain Policy (supplied by Vendor)
- Smelter Supplier Human Rights and Supply Chain Policy (supplied by Vendor)
- Customer Information Form
- Tax Form

All forms, with the exception of certificates, must be completed on company letter head and include company stamp, signature and date.

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## Responsibilities for New or Change in Existing Vendor Request

Group	Task
Product Development	<ul style="list-style-type: none"> <li>• Sends FGI 3T Vendor Supplier Letter of Compliance to Vendor</li> <li>• Sends FGI 3T Nugget Supplier Letter of Compliance to Vendor</li> <li>• Sends FGI 3T Smelter Supplier Letter of Compliance to Vendor</li> <li>• Sends FGI Supply Chain Risk Assessment Policy to Vendor, Nugget and Smelter Supplier</li> <li>• Sends FGI Human Rights Policy to Vendor, Nugget and Smelter Supplier</li> <li>• Validates Vendor is using CFSP compliant Smelter</li> <li>• Validates Vendor, Nugget and Smelter Suppliers support our Supply Chain Risk Assessment Policy and Human Rights Policy</li> </ul>
Accounts Payable Department	<ul style="list-style-type: none"> <li>• Sets up Vendor in ERP System</li> <li>• Sends Customer Information Form</li> <li>• Tax Form</li> </ul>
Vendor	<ul style="list-style-type: none"> <li>• Returns completed FGI 3T Vendor Supplier Letter of Compliance to Vendor</li> <li>• Returns completed FGI 3T Nugget Supplier Letter of Compliance to Vendor</li> <li>• Returns completed FGI 3T Smelter Supplier Letter of Compliance to Vendor</li> <li>• Sends Human Rights Policy</li> <li>• Sends Supply Chain Policy</li> <li>• Sends CFSP Smelter Certificate</li> </ul>

  
John Orrico, COO

10/14/2021

Date