

# FREDERICK GOLDMAN INC.

Frederick Goldman, Inc.  
Precious Vendor Policy

## Purpose

The purpose of the policy is to define the process for requesting a new vendor or change to an existing vendor for any vendor supplying precious metals (gold, platinum, palladium).

## Vendor Requirements

All vendors must confirm to be in support and compliance with both Frederick Goldman, Inc.'s Supply Chain Assessment Policy and Human Rights Policy. All vendors must disclose annually the supply chain, human rights and/or environmental certifications.

## Forms/Documents Required by Vendor

- Vendor is required to be compliant with at least one of the following or have a third party audit at the same level of standards:
  - London Bullion Market Association (LBMA) Responsible Gold Program
  - World Gold Council, Conflict-Free Gold Standard
  - Responsible Source Certification for Standard Metals
  - Conflict-Free Smelter (CFS) Program of the Electronic Industry Citizenship Coalition (EICC)
  - 100% Recycled Gold
  - OECD's Due Diligence Guidance for Responsible Supply Chain of Minerals
- Vendor Human Rights and Supply Chain Policy (supplied by Vendor)
- Customer Information Form
- Tax Form

All forms, with the exception of certificates, must be completed on company letter head and include company stamp, signature and date.

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## Responsibilities for New or Change in Existing Vendor Request

| Group                       | Task   |
|-----------------------------|--|
| Product Development         | <ul style="list-style-type: none"> <li>• Sends FGI Supply Chain Risk Assessment Policy to Vendor</li> <li>• Sends FGI Human Rights Policy to Vendor</li> <li>• Validates Vendor is using certified Gold Source</li> <li>• Validates Vendor supports our Supply Chain Risk Assessment Policy and Human Rights Policy</li> </ul> |
| Accounts Payable Department | <ul style="list-style-type: none"> <li>• Sets up Vendor in ERP System</li> <li>• Sends Customer Information Form</li> <li>• Sends Tax Forms</li> </ul>   |
| Vendor                      | <ul style="list-style-type: none"> <li>• Confirmation of being compliant to FGI Human Rights and Supply Chain Risk Assessment Policies</li> <li>• Sends Human Rights Policy</li> <li>• Sends Supply Chain Policy</li> <li>• Sends CFSP Smelter Certificate</li> </ul>  |

  
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 John Orrico, COO

1/2/2024  
 Date