

FREDERICK GOLDMAN INC.

DUE DILIGENCE ANNUAL REPORT

Company Name: Frederick Goldman, Inc.
Date: January 2, 2024
Reporting Period: January 2023 to December 2023

OECD Due Diligence Guidance

1: Establish strong company management systems

Actions Taken

- Established Supply Chain Policy and announce on website
- Appointed John Orrico as Due Diligence Manager to oversee due diligence management system in October 2021
- Collect supplier information details including KYC
- Inform and follow up the current suppliers by making annual evaluation following Due Diligence Management System
- Wrote Grievance Policy and Procedure and uploaded on website

2: Identify and assess risk in the supply chain

Actions Taken

- Implemented Risk Assessment Management System

3: Design and implement strategy to respond to identified risks (if needed)

Actions Taken

- Appointed John Orrico as due Diligence Manager in charge of supply-chain risk assessment
- Establish Risk Assessment Management System
- Implemented Risk Assessment Management System which included mitigation and final action (where applicable)
- Annual training for RJC 2019 - COP 7
- Publicly announce on website RJC 2019 - COP 7 (5.1)

John Orrico
COO | Due Diligence Officer